NSF Financial Conflict of Interest Disclosure Form

In accordance with the NSF Conflict of Interest Policies (NSF 16-1, Awards and Administration Guide, Chapter IV.A), each grantee organization employing more than fifty persons is required to maintain an appropriate written and enforced policy on conflict of interest and that all conflicts of interest for each award be managed, reduced or eliminated prior to the expenditure of the award funds.

The organizational conflict of interest policy requires that each investigator must disclose to a responsible representative of the organization all significant financial interests of the investigator (including those of the

Project Information

Name: _____   Department: _____   Email: _____

Lead PI: _____   Lead PI Organization (if not Skidmore): _____

Role on Project: _____   Sponsor: _____   Project Dates: _____to _____

Project Title: _____

Are subrecipients involved in the project?   No   Yes

If yes, provide name(s) of subreceipient organization(s): _____

Type of Proposal/Disclosure

New Proposal   Update Disclosing New Significant Financial Interests

New Investigator Added to Project   Required Annual Update

1. Have you, your spouse, and/or your dependent children received **salary, royalties or other payments for services** (e.g., consulting fees or honoraria) in the past 12 months exceeding $10,000 when aggregated that could directly and significantly affect the design, conduct, or reporting of NSF-funded research or education activities? This does not include salary, royalties or other remuneration from Skidmore College, nor does it include income from seminars, lectures, teaching engagements, or service on advisory committees or review panels for public or non-profit entities.

   Yes   No

2. Do you, your spouse, and/or your dependent children currently own any **equity interest** (e.g., stock, stock options, or other ownership interests exceeding $10,000 in value (current market value if publicly traded; internal estimate of value if not publicly traded) or have more than 5% ownership interest in a single entity when aggregated that could directly and significantly affect the design, conduct, or reporting of NSF-funded research or education activities?

   Yes   No

3. Do you, your spouse, and/or your dependent children have any **intellectual property rights** (e.g., patents, copyrights and royalties from such rights) that could directly and significantly affect the design, conduct, or reporting of NSF-funded research or education activities? (Do not include any intellectual property that has been assigned to Skidmore.)

   Yes   No

Acknowledgement and Certification

30 I acknowledge that by signing my name below, it is my responsibility to disclose, _within 30 days,_ any new significant